

B 210A (Form 210A) (12/09)

**UNITED STATES BANKRUPTCY COURT**

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Sr. Simon Juan Galmés Cerdó

Name of Transferee

Union Bancaire Privée UBP SA

Name of Transferor

Name and Address where notices to transferee should be sent:

Banco Sabadell Atlántico, Pl. Rosari, S/N  
07001 Palma de Mallorca, Spain

Court Claim # (if known): 55517

Amount of Claim: \$203,948.33

Date Claim Filed: 10/29/2009

Phone: +34 971 12 20 62

Last Four Digits of Acct #: \_\_\_\_\_

Phone: +41.58.819.3428

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Banco Sabadell (BIC: BSABESBB)  
IBAN: ES46 0081 1551 60 0001150819

Phone: +34 971 12 20 62

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 06/23/2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



UNION BANCAIRE PRIVÉE

## Schedule I

### Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN / Description	Court Claim #	Date Claim Filed	Issuer	Nominal Amount
ISIN XS0301813522  Notes Lehman Brothers UK Capital Funding	55517	29th October 2009	Lehman Brothers UK London	USD 203'948.33

UNION BANCAIRE PRIVÉE, UBP SA

Genève

Rue du Rhône 96-98 | CP 1320 | 1211 Genève 1, Suisse  
Tél. +41 58 819 21 11 | Fax +41 58 819 22 00  
ubp@ubp.ch | www.ubp.com



UNION BANCAIRE PRIVÉE

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVÉE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **Sr. Simon Juan Galmés Cerdó** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **55517**) in nominal amount of **USD 203'948.33** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 26<sup>th</sup> June 2014.

**UNION BANCAIRE PRIVÉE, UBP SA**

A handwritten signature in black ink, appearing to be "J. Galmés Cerdó".

Securities Dept  
Director

A handwritten signature in black ink, appearing to be "S. Galmés Cerdó".

Securities Dept  
Associate

**UNION BANCAIRE PRIVÉE, UBP SA**

Genève

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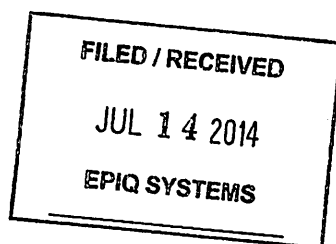


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Please scan - Signature required  
Veuillez scanner - Remise contre Signature

JUL 14 2014

JUL 14 2014



REGISTERED

EPIQ BANKRUPTCY SOLUTIONS, LLC.

ATTN. LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING

757 THIRD AVENUE, 3<sup>RD</sup> FLOOR

NEW YORK, NY 10017

USA